Case 2:13-cv-02384-CAS-PJW Document 3 Filed 04/03/13 Page 1 of 9 Page ID #:1

Mail Stop 8 O: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		FILING OR DETER	F ON THE RMINATION OF AN DING A PATENT OR EMARK
filed in the U.S. Distric	ct Court <u>CENTRAL DISTRI</u>		court action has been on the following
	Patents. (the patent acti		
DOCKET NO.	DATE FILED	U.S. DISTRICT COURT CENTRAL DISTRICT	
PLAINLIFF PRINCIPAL FINANCIAL	L SERVICES, INC.	DEFENDANT PRINCIPAL TAX GROU Corporation	P, INC., a Delaware
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT	OR TRADEMARK
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 	n the above—entitled case, the fo	ollowing patent(s)/ trademark(s) have been i	ncluded:
		ndment Answer Cross Bi	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT	OR TRADEMARK
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In the abov	e entitled case, the following d	ecision has been rendered or judgement issu	1 ≫Ω 147
DECISION/JUDGEMENT			?
DECISION/JUDGEMENT			
DECISION/JUDGEMENT	læv r	DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 4—Case file copy

Case 2:13-cv-02384-CA\$-PJW Document 3 Filed 04/03/13 Page 2 of 9 Page ID #:2

ATTACHMENT TO REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK (FORM # AO 120)

Mark	Reg No San		Services at 1
THE PRINCIPAL	1,469,375	12/15/87	Life, health, accident and casualty
EDGE	Incontestable		insurance underwriting services, investment
			management services, administering
			annuities, mutual funds, pensions and
			income programs for others and register
		ļ	investment advisory services
THE DOWNSIDAY	1 500 104	08/30/88	Consulting and contract management
THE PRINCIPAL	1,502,424	00/30/00	services to preferred provider organizations;
FINANCIAL GROUP	Incontestable		arranging for prepaid medical care for
			others; health care services rendered
			through preferred provider organizations
	1,504,246	09/13/88	Consulting and contract management
the Principal	incontestable		services to preferred provider organizations;
	1		arranging for prepaid medical care for
			others; health care services rendered
			through preferred provider organizations
	1,508,542	10/11/88	Life, health insurance and reinsurance
thePrincipal	Incontestable		underwriting and brokerage services;
	mouncatable		underwriting, administering and managing
			annuities and pension funds for others;
		1	variable life insurance and variable
			annuities funded through a variety of
			funding media
THE PRINCIPAL	1,508,543	10/11/88	Life, health insurance and reinsurance
FINANCIAL GROUP	Incontestable		underwriting and brokerage services;
(Stylized)			underwriting, administering and managing
		1	annuities and pension funds for others;
			variable life insurance and variable
		ŀ	annuities funded through a variety of
			funding media
THE PRINCIPAL	1,508,544	10/11/88	Life, health insurance and reinsurance
(Stylized)	Incontestable	10211700	underwriting and brokerage services;
(Stynzed)	Incontestable		underwriting, administering and managing
			annuities and pension funds for others;
			variable life insurance and variable
			annuities funded through a variety of
		0044.4700	funding media
Allow Dadous discoult	1,530,022	03/14/89	Life, health insurance underwriting services,
thePrincipal	Incontestable	1	investment management services,
Financial Group		1	administering annuities, mutual funds,
		1	pensions and income programs for others,
• •		1	registered investment advisory services and
			broker-dealer securities services
	1,530,023	03/14/89	Life, health insurance underwriting services,
क्षेत्र थ्योतसञ्ज	Incontestable		investment management services,
		1	administering annuities, mutual funds,
		1	pensions and income programs for others,
r	1		registered investment advisory services and
		1	broker-dealer securities services
	4 524 400	03/34/90	
the zingpa	1,531,199	03/21/89	Life, health insurance underwriting services,
Prencial	Incontestable		investment management services,
- 0		1	administering annuities, mutual funds,
LOND LOND			
The second second			pensions and income programs for others,
January Victory			registered investment advisory services and

Case 2:13-cv-02384-CA\$-PJW Document 3 Filed 04/03/13 Page 3 of 9 Page ID #:3

Mark Mark			Services # 14
THE PRINCIPAL PLAN	1,561,335 Incontestable	10/17/89	Arranging for pre-paid medical care for others
PRINCIPAL	1,562,541 Incontestable	10/24/89	Financial analysis and consulting, management of securities and securities brokerage services
the Principal Fluencial Group	1,698,013 Incontestable	06/30/92	Real estate leasing and management services
THE PRINCIPAL FINANCIAL GROUP	1,740,172 Incontestable	12/15/92	Commercial and residential real estate service namely, brokerage, investment, management mortgage loan, and valuation services
THE PRINCIPAL	1,740,181 Incontestable	12/15/92	Commercial and residential real estate services; namely, brokerage, investment, management, mortgage loan, and valuation services
PRINCIPAL BANK	2,843,297 Incontestable	05/18/04	Retail commercial banking services, namely, checking, savings, money market, credit cards, certificates of deposit, mortgage loans, commercial real estate lending, and corporate banking services
PRINCIPAL GLOBAL INVESTORS	3,053,544 Incontestable	01/31/06	Financial services, namely, portfolio management, financial market analysis, asset management, mortgage lending, real estate and securities investment management and investment management advisory services
Principal Bank	3,095,382 Incontestable	05/23/06	Retail commercial banking services, namely, checking, savings, money market, credit cards, certificates of deposit, mortgage loans, commercial real estate lending, and corporate banking services
PRINCIPAL FREEDOM	3,107,136 Incontestable	06/20/06	Financial services, namely, variable annuities
PRINCIPAL ADVANTAGE	3,174,382 Incontestable	11/21/06	Life, health, accident and casualty insurance underwriting and brokerage services, investment management services, underwriting, administering and managing annuities, mutual funds, pensions and income programs for others, registered investment advisory services and management of securities and securities brokerage services
Principal	3,297,647 Incontestable	09/25/07	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage; administration of employer provided and individual pension and fixed investment income programs; registered investment advisory services and broker-dealer securities services, namely, brokering and trading in securities and providing

Case 2:13-cv-02384-CAS-P)W Document 3 Filed 04/03/13 Page 4 of 9 Page ID #:4

ov v-o Mark###=####	Red No - W	Reg Date	Services Caracteristics
			investment advisory services; banking
			services; consumer loan financing; pension
1			plan consulting; estate planning; financial
1			planning; administration services of
Į.		ļ	insurance in the fields of life, disability,
1		i .	dental, prescription drug, vision and
'			accidental death and dismemberment;
			administration of employee benefit plans,
İ			namely, deferred compensation benefit
!			plans, profit sharing plans, cafeteria plans
		!	and other employee benefit plans;
			insurance underwriting and brokerage
			services for groups and individuals in the
•			fields of life, disability and dental; real estate
			mortgage lending and mortgage asset
			procurement for others; maintaining real
			estate mortgage escrow accounts; real
1			estate investment services; real estate
			management; leasing of commercial real
			estate; financial investment in the field of
Į.		1	real estate development; providing administration services to employee welfare
[benefit plans and pre-paid dental plans and
1	<u> </u>		claims administration, namely, claims
			processing; and retail commercial banking
1		ļ	services, namely, checking, savings, money
			market, credit cards, certificates of deposit,
			mortgage loans, commercial real estate
1			lending, and corporate banking services
PRINCIPAL	3,324,583	10/30/07	Annuities underwriting and investment,
PRINCIPAL	Incontestable	10/00/01	management of, distribution and
	montostavio		administration services, namely, providing
			administrative services for fixed and
		1	variable annuities; funds investment; trust
]		and custodial services for retirement plans,
			namely, investment of funds for others in
Į.			the field of mutual funds and individual
İ			retirement accounts; administration of
			employee pension plans; financial services
1		İ	in the nature of an investment security;
Į			investment management services; mutual
1	1	1	fund investment, distribution and brokerage;
			administration of employer provided and
			individual pension and fixed investment
·			income programs; registered investment
1			advisory services and broker-dealer
			securities services, namely, brokering and
	[trading in securities and providing
İ			investment advisory services; banking
		1	services; consumer loan financing; pension
			plan consulting; estate planning; financial planning; administration services of
1		1	insurance in the fields of life, disability,
	ļ		dental, prescription drug, vision and
			accidental death and dismemberment;
			administration of employee benefit plans,
		1	
1			Linamely, deferred compensation benefit
			namely, deferred compensation benefit plans, crofit sharing plans, cafeteria plans
			plans, profit sharing plans, cafeteria plans
			plans, profit sharing plans, cafeteria plans and other employee benefit plans;
			plans, profit sharing plans, cafeteria plans and other employee benefit plans; insurance underwriting and brokerage
			plans, profit sharing plans, cafeteria plans and other employee benefit plans; insurance underwriting and brokerage services for groups and individuals in the
			plans, profit sharing plans, cafeteria plans and other employee benefit plans; insurance underwriting and brokerage

Case 2:13-cv-02384-CA\$-PIW Document 3 Filed 04/03/13 Page 5 of 9 Page ID #:5

THE STATE OF SEASONS STATE OF SEASONS SEASONS	∦ Reg No.	Rememble	Services # # # ##
NINIZIK	BIGHNO	ANYCA GESS	procurement for others; maintaining real estate mortgage escrow accounts; real estate investment services; real estate management; leasing of commercial real estate; financial investment in the field of real estate development; providing administration services to employee welfare benefit plans and pre-paid dental plans and
			claims administration, namely, claims processing; and retail commercial banking services, namely, checking, savings, money market, credit cards, certificates of deposit, mortgage loans, commercial real estate lending, and corporate banking services
Principal Funds	3,368,180	01/15/08	Financial services, namely, mutual fund investment services
PRINCIPAL FUNDS	3,368,181	01/15/08	Financial services, namely, mutual fund investment services
THE PRINCIPAL CHARITY CLASSIC	3,369,005	1/15/08	Golf umbrellas; plastic water bottles sold empty; jackets; hats; t-shirts; golf shirts; golf balls; entertainment in the nature of golf tournaments
Principal Global Investors	3,400,594	03/25/08	Financial services, namely, portfolio management, financial market analysis, asset management, mortgage lending, real estate and securities investment management and investment management advisory services
	3,456,731	07/01/08	Golf umbrellas; plastic water bottles sold empty; jackets; hats; t-shirts; golf shirts; golf balls; entertainment in the nature of golf tournaments
Principal Financial Group	3,461,024	07/08/08	Financial sponsorship of automobile racing teams; financial sponsorship of sporting and entertainment venues; entertainment in the nature of family-oriented festivals
Principal .	3,461,025	07/08/08	Shirts; annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage; administration of employer provided and individual pension and fixed investment income programs; registered investment

Case 2:13-cv-02384-CA\$-PJW Document 3 Filed 04/03/13 Page 6 of 9 Page ID #:6

Mark & B B	Reg. No.	Reg. Date	Services
Principal	3,961,137	Reg. Date:	advisory services and broker-dealer securities services, namely, brokering and trading in securities and providing investment advisory services; banking services; consumer loan financing; pension plan consulting; estate planning; financial planning; administration services of insurance in the fields of life, health, disability, dental, prescription drug, vision and accidental death and dismemberment; administration of employee benefit plans, namely, deferred compensation benefit plans, profit sharing plans and cafeteria plans; insurance underwriting and brokerage services for groups and individuals in the fields of life, health, disability and dental; mortgage banking services; mortgage brokerage services; real estate mortgage lending and mortgage asset procurement for others; maintaining real estate mortgage escrow accounts; real estate investment services; real estate management; leasing of commercial real estate; financial investment in the field of real estate development; providing administration services to employee welfare benefit plans and pre-paid dental plans and claims administration, namely, claims processing, and retail commercial banking services, namely, checking, savings, money market, credit cards, certificates of deposit, mortgage loans, commercial real estate lending, and corporate banking services. Managed health care services, namely, preventive medical screenings, and wellness programs; providing health care information in the field of health and
Wellness Company			wellness
PRINCIPAL REAL ESTATE INVESTORS	4,111,149	3/13/2012	Real estate investment services; real estate management services
Principal Real Estate Investors	4,130,738	4/24/2012	Real estate investment services; real estate management services
PRINCIPAL FINANCIAL GROUP	(pending app) 85811463	12/27/2012	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage; administration of employer provided and individual pension and fixed investment income programs; registered investment

Case 2:13-cv-02384-CA\$-P)JW Document 3 Filed 04/03/13 Page 7 of 9 Page ID #:7

3 3 3 3 Mark → 3 5	Regi No.	Reg Date	Services ###
Processor Commence of the Comm			advisory services and broker-dealer
		·	securities services, namely, brokering and
			trading in securities and providing
7	1		investment advisory services; banking
		1	services; consumer loan financing; pension
	I		plan consulting; estate planning; financial
		1	planning; administration services of
			insurance in the fields of life, disability,
			dental, prescription drug, vision and
			accidental death and dismemberment;
			administration of employee benefit plans,
	ļ		namely, deferred compensation benefit
			plans, profit sharing plans, cafeteria plans
		ļ	and other employee benefit plans;
			insurance underwriting and brokerage
			services for groups and individuals in the
		1	fields of life, disability and dental; real estate
			mortgage lending and mortgage asset
			procurement for others; maintaining real
			estate mortgage escrow accounts; real estate investment services; real estate
	,		management; leasing of commercial real
	1		estate; financial investment in the field of
			real estate development; providing
			administration services to employee welfare
		1	benefit plans and pre-paid dental plans and
			claims administration, namely, claims
			processing; and retail commercial banking
		1	services, namely, checking, savings, money
			market, credit cards, certificates of deposit,
•			mortgage loans, commercial real estate
	•	i	I Providence to harden continue
		1 1	i lenging and corporate danking services
		_	lending, and corporate banking services
	(pending app)	n/a	
Principal	(pending app) 85811461	n/a	Annuities underwriting and investment,
Principal	(pending app) 85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing
	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust
	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans,
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security;
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage;
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage; administration of employer provided and
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage; administration of employer provided and individual pension and fixed investment
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage; administration of employer provided and individual pension and fixed investment income programs; registered investment
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage; administration of employer provided and individual pension and fixed investment income programs; registered investment advisory services and broker-dealer
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage; administration of employer provided and individual pension and fixed investment income programs; registered investment advisory services and broker-dealer securities services, namely, brokering and
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage; administration of employer provided and individual pension and fixed investment income programs; registered investment advisory services and broker-dealer securities services, namely, brokering and trading in securities and providing
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage; administration of employer provided and individual pension and fixed investment income programs; registered investment advisory services and broker-dealer securities services, namely, brokering and trading in securities and providing investment advisory services; banking
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage; administration of employer provided and individual pension and fixed investment income programs; registered investment advisory services and broker-dealer securities services, namely, brokering and trading in securities and providing investment advisory services; banking services; consumer loan financing; pension
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage; administration of employer provided and individual pension and fixed investment income programs; registered investment advisory services and broker-dealer securities services, namely, brokering and trading in securities and providing investment advisory services; banking services; consumer loan financing; pension plan consulting; estate planning; financial
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage; administration of employer provided and individual pension and fixed investment income programs; registered investment advisory services and broker-dealer securities services, namely, brokering and trading in securities and providing investment advisory services; banking services; consumer loan financing; pension plan consulting; estate planning; financial planning; administration services of
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage; administration of employer provided and individual pension and fixed investment income programs; registered investment advisory services and broker-dealer securities services, namely, brokering and trading in securities and providing investment advisory services; banking services; consumer loan financing; pension plan consulting; estate planning; financial planning; administration services of insurance in the fields of life, disability,
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage; administration of employer provided and individual pension and fixed investment income programs; registered investment advisory services and broker-dealer securities services, namely, brokering and trading in securities and providing investment advisory services; banking services; consumer loan financing; pension plan consulting; estate planning; financial planning; administration services of insurance in the fields of life, disability, dental, prescription drug, vision and
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage; administration of employer provided and individual pension and fixed investment income programs; registered investment advisory services and broker-dealer securities services, namely, brokering and trading in securities and providing investment advisory services; banking services; consumer loan financing; pension plan consulting; estate planning; financial planning; administration services of insurance in the fields of life, disability, dental, prescription drug, vision and accidental death and dismemberment;
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage; administration of employer provided and individual pension and fixed investment income programs; registered investment advisory services and broker-dealer securities services, namely, brokering and trading in securities and providing investment advisory services; banking services; consumer loan financing; pension plan consulting; estate planning; financial planning; administration services of insurance in the fields of life, disability, dental, prescription drug, vision and accidental death and dismemberment; administration of employee benefit plans,
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage; administration of employer provided and individual pension and fixed investment income programs; registered investment advisory services and broker-dealer securities services, namely, brokering and trading in securities and providing investment advisory services; banking services; consumer loan financing; pension plan consulting; estate planning; financial planning; administration services of insurance in the fields of life, disability, dental, prescription drug, vision and accidental death and dismemberment; administration of employee benefit plans, namely, deferred compensation benefit
Financia	85811461	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage; administration of employer provided and individual pension and fixed investment income programs; registered investment advisory services and broker-dealer securities services, namely, brokering and trading in securities and providing investment advisory services; banking services; consumer loan financing; pension plan consulting; estate planning; financial planning; administration services of insurance in the fields of life, disability, dental, prescription drug, vision and accidental death and dismemberment; administration of employee benefit plans,

Case 2:13-cv-02384-CA\$-PJW Document 3 Filed 04/03/13 Page 8 of 9 Page ID #:8

Y I Mark	RegeNo. te	Selition Date (50)	Fig. 44 Services 25 4 465
			insurance underwriting and brokerage services for groups and individuals in the fields of life, disability and dental; real estate mortgage lending and mortgage asset procurement for others; maintaining real estate mortgage escrow accounts; real estate mortgage escrow accounts; real estate investment services; real estate management; leasing of commercial real estate; financial investment in the field of real estate development; providing administration services to employee welfare benefit plans and pre-paid dental plans and claims administration, namely, claims processing; and retail commercial banking services, namely, checking, savings, money market, credit cards, certificates of deposit, mortgage loans, commercial real estate lending, and corporate banking services
PRINCIPAL LIFE INSURANCE COMPANY	(pending app) 85811459	n/a	Annuities underwriting and investment, management of, distribution and administration services, namely, providing administrative services for fixed and variable annuities; funds investment; trust and custodial services for retirement plans, namely, investment of funds for others in the field of mutual funds and individual retirement accounts; administration of employee pension plans; financial services in the nature of an investment security; investment management services; mutual fund investment, distribution and brokerage; administration of employer provided and individual pension and fixed investment income programs; registered investment advisory services and broker-dealer securities services, namely, brokering and trading in securities and providing investment advisory services; banking services; consumer loan financing; pension plan consulting; estate planning; financial planning; administration services of insurance in the fields of life, disability, dental, prescription drug, vision and accidental death and dismemberment; administration of employee benefit plans, namely, deferred compensation benefit plans, profit sharing plans, cafeteria plans and other employee benefit plans; insurance underwriting and brokerage services for groups and individuals in the fields of life, disability and dental; real estate mortgage lending and mortgage asset procurement for others; maintaining real estate mortgage escrow accounts; real estate mortgage escrow accounts; real estate management; leasing of commercial real estate; financial investment in the field of real estate development; providing administration services to employee welfare benefit plans and pre-paid dental plans and claims administration, namely, claims processing; and retail commercial banking

Case 2:13-cv-02384-CA\$-PJW Document 3 Filed 04/03/13 Page 9 of 9 Page ID #:9

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and the standard was	Reg. No.	Reg. Date	services, namely, checking, savings, money market, credit cards, certificates of deposit, mortgage loans, commercial real estate lending, and corporate banking services
PRINCIPAL GLOBAL EQUITIES	(pending app) 85/849,830	n/a	Financial services, namely portfolio management, financial market analysis, asset management, and investment management advisory services
Principal Global Equities	(pending app) 85/849,834	n/a	Financial services, namely portfolio management, financial market analysis, asset management, and investment management advisory services
PRINCIPAL GLOBAL FIXED INCOME	(pending app) 85/849,986	n/a	Financial services, namely portfolio management, financial market analysis, asset management, and investment management advisory services
Principal Global Fixed Income	(pending app) 85/849,980	n/a	Financial services, namely portfolio management, financial market analysis, asset management, and investment management advisory services